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 1093 U.S. PTO

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PATENT APPLICATION
 DOCKET NO.: CENT.01USU1

IN THE U.S. PATENT AND TRADEMARK OFFICE
 Patent Application and Fee Transmittal Letter

JC979 U.S. PTO
 09/896705
 06/29/01

ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n):

(X) Utility () Design (X) original patent application,

INVENTOR(S):

Robert E. Sutton, 57 Glenmoor Circle, Englewood, Colorado 80110

TITLE: Method for Providing Financial and Risk Management

Enclosed are:

- (X) Specification 44 pages, including 4 pages claims, 1 page Abstract and 30 pages in 3 Appendices.
- (X) The Declaration and Power of Attorney. () signed (X) unsigned or partially signed
- (X) 8 sheets of (X) formal drawings (two sets) () informal drawings (one set)

CLAIMS AS FILED BY SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	21	21 - 20 = 1	X \$9	\$9
INDEPENDENT CLAIMS	3	3 - 3 = 0	X \$40	\$0
ANY MULTIPLE DEPENDENT CLAIMS			X \$135	\$0
BASIC FEE: Design (\$160); Utility (\$ 355)				\$355
TOTAL FILING FEE				\$364
OTHER FEES				0
TOTAL CHARGES				\$364

- (X) Attached is a check for \$364.00.
 - () Please charge to Deposit Account 50-1491 the amount of \$_____.
- Please charge any additional required fees or credit any over payment to Deposit Account 50-1491 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.
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Date of Deposit: June 29, 2001
 I hereby certify that this is being deposited with the
 United States Postal Service "Express Mail" Post
 Office to Addressee" service under 37 CFR 1.10 on
 the date indicated above and is addressed to the
 Assistant Commissioner for Patents, Washington, D.C. 20231.
Diana J. Stickler
 Typed name: Diana J. Stickler

Respectfully submitted

By William W. Cochran
 William W. Cochran
 Attorney/Agent for Applicant(s)
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